7-26-07

Attorney's Docket No.: 21121-002001 / 2301

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hubert Köster et al.

Art Unit: 1624

Serial No.: 09/067,337

Examiner: Paul V. Ward

Filed : April 27, 1998 Patent No. : 7,094,943

Iggue Date: August 22, 200

Issue Date: August 22, 2006

Title : SOLUTION PHASE BIOPOLYMER SYNTHESIS

Attn: Certificate of Correction Branch

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## TRANSMITTAL LETTER

Dear Sir:

Transmitted herewith are a Request for a Certificate of Correction pursuant to C.F.R. § 1.322 & § 1.323 (7 pages), Certificate of Correction Form PTO-1050 (7 pages), a copy of a Preliminary Amendment, filed on December 6, 2005, a copy of an Examiner's Amendment to the record, issued February 8, 2006, and a return postcard for filing in connection with the above-identified application. One or more of the errors sought to be corrected were made by applicant, and a check for \$100 is enclosed to cover the required fee of 37 CFR §1.20(a).

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The Commissioner is hereby authorized to charge any fees that may be due in connection with this paper or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,

Stephanie Seidman Reg. No. 33,779

Attorney Docket No. 21121-002001 / 2301

Address all correspondence to: Stephanie Seidman

Fish & Richardson P.C. 12390 El Camino Real

San Diego, California 92130

Telephone: (858) 678-4777 Facsimile: (202) 626-7796

email: seidman@fr.com

Certificate

JUL 3 0 2007

of Correction

CERTIFICATE OF MAILING BY "EXPRESS MAIL"
"Express Mail" Mailing Label Number EV 965983475 US

Date of Deposit July 24, 2007

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA, 22313-1450.

Stephanie Seidman

Attorney's Docket No.: 21121-002001 / 2301



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ant: Hubert Köster et al.

Art Unit : 1624

Hal No.: 09/067,337 Filed : April 27, 1998

Examiner: Paul V. Ward

Patent No.: 7,094,943

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P.O. Box 1450

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## TRANSMITTAL LETTER

#### Dear Sir:

Transmitted herewith are a Request for a Certificate of Correction pursuant to C.F.R. § 1.322 & § 1.323 (7 pages), Certificate of Correction Form PTO-1050 (7 pages), a copy of a Preliminary Amendment, filed on December 6, 2005, a copy of an Examiner's Amendment to the record, issued February 8, 2006, and a return postcard for filing in connection with the above-identified application. One or more of the errors sought to be corrected were made by applicant, and a check for \$100 is enclosed to cover the required fee of 37 CFR §1.20(a).

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The Commissioner is hereby authorized to charge any fees that may be due in connection with this paper or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,

Stephanie Seidman Reg. No. 33,779

Attorney Docket No. 21121-002001 / 2301 Address all correspondence to:

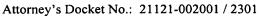
Stephanie Seidman Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-4777

Facsimile: (202) 626-7796 email: seidman@fr.com

> CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label Number EV 965983475 US Date of Deposit July 24, 2007

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1 10 on the date indicated above and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, 450, Alexandria, VA, 22313-1450.

Stephanie Seidman





Art Unit: 1624 Applicant: Hubert Köster et al.

Serial No.: 09/067,337 Examiner: Paul V. Ward

Filed : April 27, 1998 Patent No.: 7,094,943

Issue Date: August 22, 2006

: SOLUTION PHASE BIOPOLYMER SYNTHESIS Title

## Attn.: Certificate of Corrections Branch

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## REQUEST FOR CERTIFICATE OF CORRECTION

#### Dear Sir:

Pursuant to 37 C.F.R. § 1.322 and 1.323, the patentee respectfully requests that a Certificate of Correction be issued for the above referenced patent to correct the following errors:

#### IN THE SPECIFICATION:

At column 3, line 60, please replace structure

$$Sp - (CH_2)_x - CH - (CH_2)_x - X^1$$

$$(CH_2)_x - CH - (CH_2)_x - X^1$$

$$(CH_2)_x - CH - (CH_2) - X^1$$

$$(CH_2)_x - CH - (CH_2) - X^1$$

with the following structure

$$(CH_{2})_{x} - CH - (CH_{2})_{x} - X^{1}$$

$$(CH_{2})_{x} - X^{1}$$

$$(CH_{2})_{x} - CH - (CH_{2})_{x} - X^{1}$$

$$(CH_{2})_{x} - CH - (CH_{2})_{x} - X^{1}$$

at column 4, line 25, please replace structure

07/26/2207 MBLANCO C2020213 7094943

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label Number EV 965983475 US Date of Deposit July 24, 2007

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to: Commissioner for Datons U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA, 22313-1450.

Stephanie Seidman

Applicant : Köster et al.

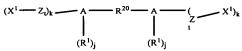
Patent No.: 7,094,943

Attorney's Docket : Request for

Attorney's Docket No.: 21121-002001 / 2301

Request for Certificate of Correction

Issued : August 22, 2006 Serial No. : 09/067,337 Filed : April 27, 1998



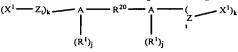
with the following structure

$$(X^{1} - Z_{\nu})_{k} - \bigwedge_{(R^{1})_{j}}^{A} - \bigwedge_{(R^{1})_{j}}^{R^{20} - A} (Z_{\iota} - X^{\iota})_{k}$$

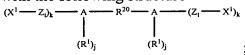
at column 11, line 40, please replace

"-Sp-O—
$$C_6H_4(C_6H_5)_2C$$
—OH (-->-Sp-O— $C_6H_4(C_6H_5)_2C$ —Cl" with — -Sp-O— $C_6H_4(C_6H_5)_2C$ —OH--->-Sp-O— $C_6H_4(C_6H_5)_2C$ —Cl — ;

at column 18, line 45, please replace structure



with the following structure



at column 23, line 45, please replace "(3'-p-nitrophenylsuccinoyl-5'-DMT-dT) pyridine

R-4,4'-dimethoxytrityl" with

-(3'-p-nitrophenylsuccinoyl-5'-DMT-dT)

pyridine
R=4,4'-dimethoxytrityl-;

at column 26, line 17, please replace

"R-4,4'-dimethoxytrityl" with -R=4,4'-dimethoxytrityl-;

at column 26, last line, please replace

"R-4,4'-dimethoxytrityl" with -R=4,4'-dimethoxytrityl-; and

at column 31, in Table 1, line 32, please replace "d(GACGGCCAGT)" with -d(GACGGCCAGT) (SEQ ID No. 1)-.

## IN THE CLAIMS:

Please replace Claims 5, 11 and 17 with the following Claims:

Attorney's Docket No.: 21121-002001 / 2301

Request for Certificate of Correction

Patent No.: 7,094,943 Issued: August 22, 2006 Serial No.: 09/067,337 Filed: April 27, 1998

Applicant : Köster et al.

5. The LPC of claim 1, wherein Z is any combination of 1-12 units selected from 1,4-phenylene and methylene, which units may be combined in any order, with the proviso that if Z is methylene, then Z contains more than three methylene units.

- 11. A method of solution phase biopolymer synthesis, comprising the steps of:
- (a) reacting an LPC of formula  $(R^1)_p$ -A- $(Z_t$ - $X^1)_n$  with a first monomer  $N^1$ ;
- (b) separating and purifying the product of step (a) to afford a compound of formula  $(R^1)_p$ -A- $(Z_t$ - $X^1$ - $N^1)_n$ ;
  - (c) reacting the product of step (b) with a second monomer  $N^2$ , a dimer  $N^2$ - $N^3$  or a trimer  $N^2$ - $N^3$ - $N^4$ ; and
  - (d) repeating steps (b) and (c) to produce an LPC-bound biopolymer of formula  $(R^1)_p$ -A- $(Z_t$ - $X^1$ - $N^1$ - $N^2$ -...- $N^m$ )<sub>n</sub>, where m is 3 to 100, wherein:
  - A is silicon; R<sup>1</sup> is hydrogen, alkyl, aryl, aralkyl, heteroaryl, heteroaralkyl, heterocyclyl or heterocyclylalkyl; p is 0 or 1;
  - Z is any combination of 0-12 units selected from 1,2-, 1,3- or 1,4-phenylene and alkylene, which units may be combined in any order; t is 0 or 1;
  - $\underline{X}^1$  is a reactive group that effects the biosynthesis of biopolymers from monomers to produce biopolymers selected from the group consisting of polypeptides, oligonucleotides, peptide nucleic acids and oligosaccharides;
  - n is 3 or 4, with the proviso that if Z is methylene, then Z contains more than three methylene units;
  - $R^1$ ,  $X^1$ , and Z are unsubstituted or substituted with one or more substituents each independently selected from Q;
  - Q is halogen, hydroxy, nitrile, nitro, formyl, mercapto, carboxy, alkyl, haloalkyl, polyhaloalkyl, aminoalkyl, diaminoalkyl, alkenyl containing 1 to 2 double bonds, alkynyl containing 1 to 2 triple bonds, cycloalkyl, cycloalkylalkyl, aryl, heteroaryl, arylalkyl, heteroarylalkyl, alkylidene, arylalkylidene, alkylcarbonyl, arylcarbonyl, heteroarylcarbonyl, alkoxycarbonyl, alkoxycarbonylalkyl, aryloxycarbonyl, aryloxycarbonylalkyl, aminocarbonyl, alkylaminocarbonyl, dialkylaminocarbonyl, arylaminocarbonyl, diarylaminocarbonyl, arylalkylaminocarbonyl, alkoxy, aryloxy, perfluoroalkoxy, alkenyloxy, alkynyloxy, arylalkoxy, amino, aminoalkyl, alkylaminoalkyl, dialkylaminoalkyl, arylaminoalkyl,

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'Applicant': Köster et al.

Patent No.: 7,094,943

Attorney's Docket No.: 21121-002001 / 2301

Request for Certificate of Correction

Issued : August 22, 2006 Serial No. : 09/067,337 Filed : April 27, 1998

diarylaminoalkyl, alkylamino, dialkylamino, arylamino, diarylamino, alkylarylamino, alkylcarbonylamino, alkoxycarbonylamino, arylcarbonylamino, aryloxycarbonylamino, azido, alkylthio, arylthio, perfluoroalkylthio, thiocyano, isothiocyano, alkylsulfinyl, alkylsulfonyl, arylsulfinyl, arylsulfonyl, aminosulfonyl, alkylaminosulfonyl, dialkylaminosulfonyl, arylaminosulfonyl or diarylaminosulfonyl;

N<sup>1</sup>, N<sup>2</sup>, N<sup>3</sup>...N<sup>m</sup> are biopolymer monomers; and the dimers and trimers comprise the monomers.

## 17. A liquid phase carrier (LPC) that has formula:

$$(X^1 \longrightarrow Z_i)_k \longrightarrow A \longrightarrow R^{20} \longrightarrow A \longrightarrow (Z_1 \longrightarrow X^1)_k$$

$$(R^1)_j \qquad (R^1)_j$$

wherein:

A is silicon; R<sup>1</sup> is hydrogen, alkyl, aryl, aralkyl, heteroaryl, heteroaralkyl, heterocyclyl or heterocyclylalkyl;

- Z is any combination of 1-12 units selected from 1,2-, 1,3- or 1,4-phenylene and alkylene, which units may be combined in any order; t is 0 or 1;
- $\underline{X}^1$  is a reactive group that effects the biosynthesis of biopolymers from monomers to produce biopolymers are selected from the group consisting of polypeptides, oligonucleotides, peptide nucleic acids and oligosaccharides;
- R<sup>1</sup>, X<sup>1</sup>, and Z are unsubstituted or substituted with one or more substituents each independently selected from Q;
- Q is halogen, hydroxy, nitrile, nitro, formyl, mercapto, carboxy, alkyl, haloalkyl, polyhaloalkyl, aminoalkyl, diaminoalkyl, alkenyl containing 1 to 2 double bonds, alkynyl containing 1 to 2 triple bonds, cycloalkyl, cycloalkylalkyl, aryl, heteroaryl, arylalkyl, heteroarylalkyl, alkylidene, arylalkylidene, alkylcarbonyl, arylcarbonyl, heteroarylcarbonyl, alkoxycarbonyl, alkoxycarbonylalkyl, aryloxycarbonyl, aryloxycarbonylalkyl, aminocarbonyl, alkylaminocarbonyl, dialkylaminocarbonyl, arylaminocarbonyl, diarylaminocarbonyl, arylalkylaminocarbonyl, alkoxy, aryloxy, perfluoroalkoxy, alkenyloxy, alkynyloxy, arylalkoxy, amino, aminoalkyl, alkylaminoalkyl, dialkylamino, arylamino, arylamino,

Applicant : Köster et al.

Patent No.: 7,094,943

Attorney's Docket No.: 21121-002001 / 2301

Request for Certificate of Correction

Issued : August 22, 2006 Serial No. : 09/067,337 Filed : April 27, 1998

diarylamino, alkylarylamino, alkylcarbonylamino, alkoxycarbonylamino, arylcarbonylamino, aryloxycarbonylamino, azido, alkylthio, arylthio, perfluoroalkylthio, thiocyano, isothiocyano, alkylsulfinyl, alkylsulfonyl, arylsulfinyl, arylsulfonyl, aminosulfonyl, alkylaminosulfonyl, dialkylaminosulfonyl, arylaminosulfonyl or diarylaminosulfonyl; R<sup>20</sup> is alkylene, alkenylene, alkynylene, arylene or heteroarylene;

k is 2 or 3; and j is 0 or 1.

Applicant: Köster et al.

Patent No.: 7,094,943

Attorney's Docket No.: 21121-002001 / 2301

Request for Certificate of Correction

Patent No.: 7,094,943 Issued: August 22, 2006 Serial No.: 09/067,337 Filed: April 27, 1998

#### **REMARKS**

## Amendments to the Specification

A Certificate of Correction (Form PTO-1050) incorporating the above changes is included with this Request. Since not all the errors are those of the Patent Office, a check is enclosed to cover the required fee. If it is determined that the fee amount is incorrect or if the check is missing, the Office is hereby authorized to charge the fee to Deposit Account No. 06-1050.

This Certificate of Correction seeks to correct obvious typographical and grammatical errors in the Specification. The amendment to the structure at column 3, lines 60-65 corrects a typographical error introduced by the PTO in the chemical bonds and in the location of the "x" for the methylene subunits "(CH<sub>2</sub>)<sub>x</sub>". This amendment finds basis on page 5, line 1 of the originally filed application, where the structure is correctly presented. The amendment to the structures at column 4, line 25, and column 18, line 45 corrects a typographical error introduced by the PTO in the group "Z<sub>t</sub>" and in the chemical bonds. These amendments find basis at page 6, line 1, and page 30, line 1 of the originally filed specification, where the structures are correctly presented. The correction to column 11, line 40 corrects a typographical error in a formula.

The amendment at column 23, line 45, inserts an arrow to clarify the illustrated synthetic scheme and corrects a typographical error in "R=4,4'-dimethoxytrityl". Basis for this amendment can be found, for example, at page 38, lines 30-40 of the specification as originally filed, and at page 43, lines 10-20, where the arrow is drawn for another reaction with the reagent 3'-p-nitrophenylsuccinoyl-5'-DMT-dT. The amendments at column 26, line 17 and last line also correct a typographical error in "R=4,4'-dimethoxytrityl". The amendment at column 31, Table 1 inserts the SEQ ID label for SEQ ID No. 1. Basis for this amendment can be found in SEQ ID No. 1 in the sequence listing.

## Amendments to the Claims

Claim 5 is amended to correct an error introduced by the PTO at column 43, line 15 by inserting "in any" between "combined" and "order". The basis for this amendment is found on page 3, line 10 of the Preliminary Amendment with Request for Continued Examination, filed on December 6, 2005, a copy of which is herewith attached as evidence. Claims 11 and 17 are amended to correct errors introduced by the PTO at column 43, line 43,

Applicant ·: Köster et al.

Patent No.: 7,094,943

Attorney's Docket No.: 21121-002001 / 2301

Request for Certificate of Correction

Fatent No.: 7,094,943

Issued: August 22, 2006

Serial No.: 09/067,337

Filed: April 27, 1998

and column 44, line 34 by inserting "X<sup>1</sup>" before "is a reactive group that effects". Basis for this amendment is found on page 2, lines 13 and 17 of the Examiner's Amendment to the record in the Notice of Allowance, issued February 8, 2006, a copy of which is herewith attached as evidence.

Accordingly, none of the requested changes constitute new matter. Patentee respectfully requests correction of errors by issuance of a Certificate of Correction.

Respectfully submitted,

Stephanie Seidman Reg. No. 33,779

Attorney Docket No. 21121-002001 / 2301 Address all correspondence to:

Stephanie Seidman Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-4777

Facsimile: (202) 626-7796 email: seidman@fr.com

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# United States Patent and Trademark Office CERTIFICATE OF CORRECTION

Page <u>1</u> of <u>7</u>

PATENT NO.

.: 7,094,943

APPLICATION NO

.: 09/067,337

DATED

.: JULY 10, 2007

INVENTOR(S)

.: HUBERT KÖSTER ET AL.

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

## IN THE SPECIFICATION:

At column 3, lines 60-65, please replace structure

$$S_{p} - (CH_{2})_{x} - CH - (CH_{2}) - X^{1}$$

$$(CH_{2})_{x} - CH - (CH_{2}) - X^{1}$$

$$(CH_{2})_{x} - CH - (CH_{2}) - X^{1}$$

$$(CH_{2})_{x} - CH - (CH_{2}) - X^{1}$$

with the following structure

$$(CH_{2})_{x} - CH - (CH_{2})_{x} - X^{1}$$

MAILING ADDRESS OF SENDER:

PATENT No. 7,094,943

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 2 of 7

PATENT NO.

.: 7,094,943

APPLICATION NO .: 09/067,337

**DATED** 

.: JULY 10, 2007

INVENTOR(S)

.: HUBERT KÖSTER ET AL.

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

At column 4, line 25, please replace structure

$$(X^{1} - Z_{t})_{k} - A - R^{20} - A - (Z^{1})_{k}$$

$$(R^{1})_{i} - (R^{1})_{i}$$

with the following structure

$$(X^{1} - Z_{i})_{k} - A - R^{20} - A - (Z_{i} - X^{1})_{j}$$

$$(R^{1})_{j} - (R^{1})_{j}$$

At column 11, line 40, please replace

"-Sp-O—
$$C_6H_4(C_6H_5)_2C$$
—OH (-->-Sp-O— $C_6H_4(C_6H_5)_2C$ —Cl" with — -Sp-O— $C_6H_4(C_6H_5)_2C$ —OH--->-Sp-O— $C_6H_4(C_6H_5)_2C$ —Cl —

At column 18, line 45, please replace structure

$$(X^{1} - Z_{i})_{k} - A - R^{20} - A - (Z - X^{1})_{k}$$

$$(R^{1})_{i} - (R^{1})_{i}$$

with the following structure

$$(X^{1} - Z_{i})_{k} - A - R^{20} - A - (Z_{t} - X^{1})_{k}$$

$$(R^{1})_{j} \qquad (R^{1})_{j}$$

MAILING ADDRESS OF SENDER:

PATENT No. 7,094,943

# UNITED STATES PATENT AND TRADEMARK OFFICE **CERTIFICATE OF CORRECTION**

Page 3 of 7

PATENT No.

.: 7,094,943

APPLICATION NO .: 09/067,337

DATED

.: JULY 10, 2007

Inventor(S)

.: HUBERT KÖSTER ET AL.

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

At column 23, line 45, please replace "(3'-p-nitrophenylsuccinoyl-5'-DMT-dT) pyridine

R-4,4'-dimethoxytrityl" with

-(3'-p-nitrophenylsuccinoyl-5'-DMT-dT)

pyridine R=4,4'-dimethoxytrityl-

At column 26, line 17, please replace "R-4,4'-dimethoxytrityl" with -R=4,4'-dimethoxytrityl-

At column 26, last line, please replace "R-4,4'-dimethoxytrityl" with -R=4,4'-dimethoxytrityl-

At column 31, line 32, in Table 1 please replace "d(GACGGCCAGT)" with -d(GACGGCCAGT) (SEQ ID No. 1)-

MAILING ADDRESS OF SENDER:

PATENT No. 7,094,943

# United States Patent and Trademark Office CERTIFICATE OF CORRECTION

Page 4 of 7

PATENT No.

.: 7,094,943

APPLICATION NO .: 09/067,337

DATED

.: JULY 10, 2007

Inventor(S)

.: HUBERT KÖSTER ET AL.

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

## IN THE CLAIMS:

Please replace Claims 5, 11 and 17 with the following Claims:

- 5. The LPC of claim 1, wherein Z is any combination of 1-12 units selected from 1,4phenylene and methylene, which units may be combined in any order, with the proviso that if Z is methylene, then Z contains more than three methylene units.
- 11. A method of solution phase biopolymer synthesis, comprising the steps of:
- (a) reacting an LPC of formula  $(R^1)_p$ -A- $(Z_t$ - $X^1)_n$  with a first monomer  $N^1$ ;
- (b) separating and purifying the product of step (a) to afford a compound of formula  $(R^{1})_{p}-A-(Z_{t}-X^{1}-N^{1})_{n};$
- (c) reacting the product of step (b) with a second monomer N<sup>2</sup>, a dimer N<sup>2</sup>-N<sup>3</sup> or a trimer N<sup>2</sup>- $N^3-N^4$ ; and
- (d) repeating steps (b) and (c) to produce an LPC-bound biopolymer of formula  $(R^1)_p$ -A- $(Z_t$ - $X^{1}-N^{1}-N^{2}-...-N^{m}$ )<sub>n</sub>, where m is 3 to 100, wherein:
- A is silicon; R<sup>1</sup> is hydrogen, alkyl, aryl, aralkyl, heteroaryl, heteroaralkyl, heterocyclyl or heterocyclylalkyl; p is 0 or 1;
- Z is any combination of 0-12 units selected from 1,2-, 1,3- or 1,4-phenylene and alkylene, which units may be combined in any order; t is 0 or 1;
- X<sup>1</sup> is a reactive group that effects the biosynthesis of biopolymers from monomers to produce biopolymers selected from the group consisting of polypeptides, oligonucleotides, peptide nucleic acids and oligosaccharides;

MAILING ADDRESS OF SENDER:

PATENT NO. 7.094.943

# United States Patent and Trademark Office CERTIFICATE OF CORRECTION

Page 5 of 7

PATENT NO.

.: 7,094,943

APPLICATION NO :: 09/067,337

DATED

.: JULY 10, 2007

INVENTOR(S)

.: HUBERT KÖSTER ET AL.

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

- n is 3 or 4, with the proviso that if Z is methylene, then Z contains more than three methylene
- $R^1$ ,  $X^1$ , and Z are unsubstituted or substituted with one or more substituents each independently selected from Q;
- Q is halogen, hydroxy, nitrile, nitro, formyl, mercapto, carboxy, alkyl, haloalkyl, polyhaloalkyl, aminoalkyl, diaminoalkyl, alkenyl containing 1 to 2 double bonds, alkynyl containing 1 to 2 triple bonds, cycloalkyl, cycloalkylalkyl, aryl, heteroaryl, arylalkyl, heteroarylalkyl, alkylidene, arylalkylidene, alkylcarbonyl, arylcarbonyl, heteroarylcarbonyl, alkoxycarbonyl, alkoxycarbonylalkyl, aryloxycarbonyl, aryloxycarbonylalkyl, aminocarbonyl, alkylaminocarbonyl, dialkylaminocarbonyl, arylaminocarbonyl, diarylaminocarbonyl, arylalkylaminocarbonyl, alkoxy, aryloxy, perfluoroalkoxy, alkenyloxy, alkynyloxy, arylalkoxy, amino, aminoalkyl, alkylaminoalkyl, dialkylaminoalkyl, arylaminoalkyl, diarylaminoalkyl, alkylamino, dialkylamino, arylamino, diarylamino, alkylarylamino, alkylcarbonylamino, alkoxycarbonylamino, arylcarbonylamino, aryloxycarbonylamino, azido, alkylthio, arylthio, perfluoroalkylthio, thiocyano, isothiocvano, alkylsulfinyl, alkylsulfonyl, arylsulfinyl, arylsulfonyl, aminosulfonyl, alkylaminosulfonyl, dialkylaminosulfonyl, arylaminosulfonyl or diarylaminosulfonyl;

 $N^1$ ,  $N^2$ ,  $N^3$ ... $N^m$  are biopolymer monomers; and the dimers and trimers comprise the monomers.

MAILING ADDRESS OF SENDER:

PATENT No. 7,094,943

# United States Patent and Trademark Office CERTIFICATE OF CORRECTION

Page <u>6</u> of <u>7</u>

PATENT NO.

.: 7,094,943

APPLICATION NO .: 09/067,337

DATED

.: JULY 10, 2007

INVENTOR(S)

.: HUBERT KÖSTER ET AL.

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

17. A liquid phase carrier (LPC) that has formula:

$$(X^{1} - Z_{t})_{k} - A - R^{20} - A - (Z_{t} - X^{1})_{k}$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad$$

wherein:

A is silicon; R<sup>1</sup> is hydrogen, alkyl, aryl, aralkyl, heteroaryl, heteroaralkyl, heterocyclyl or heterocyclylalkyl;

Z is any combination of 1-12 units selected from 1,2-, 1,3- or 1,4-phenylene and alkylene, which units may be combined in any order; t is 0 or 1;

X<sup>1</sup> is a reactive group that effects the biosynthesis of biopolymers from monomers to produce biopolymers are selected from the group consisting of polypeptides, oligonucleotides, peptide nucleic acids and oligosaccharides;

R<sup>1</sup>, X<sup>1</sup>, and Z are unsubstituted or substituted with one or more substituents each independently

selected from Q;

Q is halogen, hydroxy, nitrile, nitro, formyl, mercapto, carboxy, alkyl, haloalkyl, polyhaloalkyl, aminoalkyl, diaminoalkyl, alkenyl containing 1 to 2 double bonds, alkynyl containing 1 to 2 triple bonds, cycloalkyl, cycloalkylalkyl, aryl, heteroaryl, arylalkyl, heteroarylalkyl, alkylidene, arylalkylidene, alkylcarbonyl, arylcarbonyl, heteroarylcarbonyl, alkoxycarbonyl, alkoxycarbonylalkyl, aryloxycarbonyl, aryloxycarbonylalkyl, aminocarbonyl, alkylaminocarbonyl, dialkylaminocarbonyl, arylaminocarbonyl, diarylaminocarbonyl, arylalkylaminocarbonyl, alkoxy, aryloxy, perfluoroalkoxy, alkenyloxy, alkynyloxy, arylalkoxy, amino, aminoalkyl, alkylaminoalkyl, dialkylaminoalkyl, arylaminoalkyl, diarylaminoalkyl, alkylamino, dialkylamino, arylamino,

MAILING ADDRESS OF SENDER:

PATENT NO.\_\_\_\_ 7,094,943

# United States Patent and Trademark Office CERTIFICATE OF CORRECTION

Page 7 of 7

PATENT NO.

.: 7,094,943

APPLICATION NO

.: 09/067,337

**DATED** 

.: JULY 10, 2007

Inventor(S)

.: HUBERT KÖSTER ET AL.

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

diarylamino, alkylarylamino, alkylcarbonylamino, alkoxycarbonylamino, arylcarbonylamino, aryloxycarbonylamino, azido, alkylthio, arylthio, perfluoroalkylthio, thiocyano, isothiocyano, alkylsulfinyl, alkylsulfonyl, arylsulfinyl, arylsulfonyl, aminosulfonyl, alkylaminosulfonyl, dialkylaminosulfonyl, arylaminosulfonyl or diarylaminosulfonyl; R<sup>20</sup> is alkylene, alkenylene, alkynylene, arylene or heteroarylene;

k is 2 or 3; and

j is 0 or 1.

MAILING ADDRESS OF SENDER:

PATENT No. 7,094,943



Attorney's Docket No.: 17111-002001/2301

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hubert Köster et al.

Art Unit : 1623

Serial No.: 09/067,337

Examiner: Paul V. Ward

Filed April 27, 1998 Cust. No. : 20985

Conf. No.: 9981

Title

: SOLUTION PHASE BIOPOLYMER SYNTHESIS

Mail Stop RCE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

### PRELIMINARY AMENDMENT

Dear Sir:

This Preliminary Amendment is filed with a Request for Continued Examination (RCE) and is responsive to the Office Action, mailed June 6, 2005. Entry of the following amendments and remarks are respectfully requested.

Amendments to the claims are reflected in the listing of the claims which begin on page 2 of this paper.

Remarks/Arguments begin on page 6 of this paper.

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Box 1450, Alexandria, VA, 22313-1450.

Stephanie L

Applicant: Hubert Köster et al. Attorney's Docket No.: 17111-002001/2301

Serial No.: 09/067,337

PRELIMINARY AMENDMENT WITH RCE

## Amendments to the Claims:

Please amend claims 5, 33 and 45 as follows: This listing of claims replaces all prior versions and listings of claims in the application:

### Listing of Claims:

1. - 4. Cancelled.

5. (Currently amended) A liquid phase carrier (LPC) that has formula (Ia):

$$(R^1)_{p}$$
-A- $(Z-X^1)_{n}$  (Ia)

wherein:

A is silicon; R<sup>1</sup> is hydrogen, alkyl, aryl, aralkyl, heteroaryl, heteroaralkyl, heterocyclyl or heterocyclylalkyl; p is 0 or 1;

Z is any combination of 1-12 units selected from 1,2-, 1,3- or 1,4-phenylene and alkylene units, which units may be combined in any order, with the proviso that if Z is methylene, then Z contains more than three methylene units;

X<sup>1</sup> is <u>a any</u> reactive group that effects <u>the</u> biosynthesis of biopolymers <u>from monomers</u> to produce biopolymers selected from among polypeptides, oligonucleotides, peptide nucleic acids and oligosaccharides;

n is 3 or 4; with the proviso that if Z is methylene, then Z contains more than three methylene units;

 $R^1$ ,  $X^1$ , and Z are unsubstituted or substituted with one or more substituents each independently selected from Q; and

Q is halogen, hydroxy, nitrile, nitro, formyl, mercapto, carboxy, alkyl, haloalkyl, polyhaloalkyl, aminoalkyl, diaminoalkyl, alkenyl containing 1 to 2 double bonds, alkynyl containing 1 to 2 triple bonds, cycloalkyl, cycloalkylalkyl, aryl, heteroaryl, arylalkyl, heteroarylalkyl, alkylidene, arylalkylidene, alkylcarbonyl, arylcarbonyl, heteroarylcarbonyl, alkoxycarbonyl, alkoxycarbonylalkyl, aryloxycarbonyl, aryloxycarbonylalkyl, aminocarbonyl, alkylaminocarbonyl, dialkylaminocarbonyl, arylaminocarbonyl, diarylaminocarbonyl, arylalkylaminocarbonyl, alkoxy, aryloxy, perfluoroalkoxy, alkenyloxy, alkynyloxy, arylalkoxy, amino, aminoalkyl, alkylaminoalkyl, dialkylaminoalkyl, arylaminoalkyl, diarylaminoalkyl, alkylamino, dialkylamino, arylamino, alkylarylamino, alkylcarbonylamino, alkoxycarbonylamino, arylcarbonylamino, aryloxycarbonylamino, azido, alkylthio, arylthio, perfluoroalkylthio, thiocyano, isothiocyano, alkylsulfinyl, alkylsulfonyl, arylsulfinyl,

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arylsulfonyl, aminosulfonyl, alkylaminosulfonyl, dialkylaminosulfonyl, arylaminosulfonyl or diarylaminosulfonyl.

- 6. (Previously presented) The LPC of claim 5, wherein: X<sup>1</sup> is OH, SH, NH<sub>2</sub>, COR<sup>5</sup> or COOR<sup>4</sup>, where R<sup>4</sup> is selected from hydrogen, alkyl, aryl, aralkyl, heteroaryl, heteroaralkyl, heterocyclyl and heterocyclylalkyl; and R<sup>5</sup> is halide, heteroaryl or pseudohalide.
- 7. (Previously presented) The LPC of claim 5, wherein Z is a group with three or more points of attachment: one to A, and the others to two or more X<sup>1</sup> groups.
  - 11. (Previously presented) The LPC of claim 5, wherein p is 0 and n is 4.
- 12. (Previously presented) ) The LPC of claim 5, wherein Z is any combination of 1-12 units selected from 1,4-phenylene and methylene, which units may be combined in any order, with the proviso that if Z is methylene, then Z contains more than three methylene units.
- 13. (Previously presented) The LPC of claim 5, wherein Z is  $C_{1-12}$  alkylene, with the proviso that if Z is methylene, then Z contains more than three methylene units.
  - 14. (Previously presented) The LPC of claim 5, wherein X<sup>1</sup> is OH, SH or NH<sub>2</sub>.
  - 15. (Previously presented) The LPC of claim 14, wherein  $X_i^1$  is OH.
  - 16. (Previously presented) The LPC of claim 14, wherein X<sup>1</sup> is NH<sub>2</sub>.
  - 17-28. Cancelled.
- 29. (Previously presented) The LPC of claim 5 that is coupled to a photocleavable linker.
  - 30-32. Cancelled.
- 33. (Currently amended) A method of solution phase biopolymer synthesis, comprising the steps of:
  - (a) reacting an LPC of formula  $(R^1)_p$ -A- $(Z_t$ - $X^1)_n$  with a first monomer  $N^1$ ;
- (b) separating and purifying the product of step (a) to afford a compound of formula  $(R^1)_{n}$ -A- $(Z_t$ -X<sup>1</sup>-N<sup>1</sup>)<sub>n</sub>;
- (c) reacting the product of step (b) with a second monomer  $N^2$ , a dimer  $N^2$ - $N^3$  or a trimer  $N^2$ - $N^3$ - $N^4$ ; and
- (d) repeating steps (b) and (c) to produce an LPC-bound biopolymer of formula  $(R^1)_p$ -A- $(Z_t-X^1-N^1-N^2-...-N^m)_n$ , where m is 3 to 100, wherein:

A is silicon; R<sup>1</sup> is hydrogen, alkyl, aryl, aralkyl, heteroaryl, heteroaralkyl, heterocyclyl or heterocyclylalkyl; p is 0 or 1;

Z is any combination of 0-12 units selected from 1,2-, 1,3- or 1,4-phenylene and alkylene, which units may be combined in any order; t is 0 or 1;  $X^1$  is any reactive group that

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effects biosynthesis of biopolymers from monomers to produce biopolymers selected from among polypeptides, oligonucleotides, peptide nucleic acids and oligosaccharides; n is 3 or 4, with the proviso that if Z is methylene, then Z contains more than three methylene units;

 $R^1$ ,  $X^1$ , and Z are unsubstituted or substituted with one or more substituents each independently selected from Q; and

Q is halogen, hydroxy, nitrile, nitro, formyl, mercapto, carboxy, alkyl, haloalkyl, polyhaloalkyl, aminoalkyl, diaminoalkyl, alkenyl containing 1 to 2 double bonds, alkynyl containing 1 to 2 triple bonds, cycloalkyl, cycloalkylalkyl, aryl, heteroaryl, arylalkyl, heteroarylalkyl, alkylidene, arylalkylidene, alkylcarbonyl, arylcarbonyl, heteroarylcarbonyl, alkoxycarbonyl, alkoxycarbonylalkyl, aryloxycarbonyl, aryloxycarbonylalkyl, aminocarbonyl, alkylaminocarbonyl, dialkylaminocarbonyl, arylaminocarbonyl, diarylaminocarbonyl, arylalkylaminocarbonyl, alkoxy, aryloxy, perfluoroalkoxy, alkenyloxy, alkynyloxy, arylalkoxy, amino, aminoalkyl, alkylaminoalkyl, dialkylaminoalkyl, arylaminoalkyl, diarylaminoalkyl, alkylamino, alkylamino, alkylarylamino, alkylcarbonylamino, alkoxycarbonylamino, arylcarbonylamino, aryloxycarbonylamino, azido, alkylthio, arylthio, perfluoroalkylthio, thiocyano, isothiocyano, alkylsulfinyl, alkylsulfonyl, arylsulfinyl, arylsulfonyl, aminosulfonyl, alkylaminosulfonyl, dialkylaminosulfonyl, arylaminosulfonyl or diarylaminosulfonyl; and

N<sup>1</sup>, N<sup>2</sup>, N<sup>3</sup>...N<sup>m</sup> are biopolymer monomers; and the dimers and trimers comprise the monomers.

- 34. (Previously presented) The method of claim 33, wherein the biopolymer is an oligonucleotide, peptide, peptide nucleic acid (PNA) or oligosaccharide.
  - 35. (Previously presented) The method of claim 33, further comprising the step of:
  - (e) cleaving the biopolymer from the LPC.
- 36. (Previously presented) The method of claim 33, wherein the biopolymer is an oligonucleotide.
  - 37. (Previously presented) The method of claim 33, wherein n is 3 or 4.
  - 38. Cancelled.
- 39. (Previously presented) The method of claim 33, wherein X<sup>1</sup> is OH, SH, NH<sub>2</sub>, COR<sup>5</sup> or COOR<sup>4</sup>, where R<sup>4</sup> is selected from hydrogen, alkyl, aryl, aralkyl, heteroaryl, heteroaralkyl, heterocyclyl and heterocyclylalkyl; and R<sup>5</sup> is halide, heteroaryl or pseudohalide.
  - 40-44. Cancelled.

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45. (Currently amended) A liquid phase carrier (LPC) that has formula:

$$(X^1-Z)_k-A-R^{20}-A-(Z-X^1)_k$$
  
 $(R^1)_1$   $(R^2)_1$ 

wherein:

A is silicon; R<sup>1</sup> is hydrogen, alkyl, aryl, aralkyl, heteroaryl, heteroaralkyl, heterocyclyl or heterocyclylalkyl;

Z is any combination of 1-12 units selected from 1,2-, 1,3- or 1,4-phenylene and alkylene, which units may be combined in any order; t is 0 or 1;

X<sup>1</sup> is any reactive group that effects biosynthesis of biopolymers from monomers to produce biopolymers are selected from among polypeptides, oligonucleotides, peptide nucleic acids and oligosaccharides;

 $R^1$ ,  $X^1$ , and Z are unsubstituted or substituted with one or more substituents each independently selected from Q; and

Q is halogen, hydroxy, nitrile, nitro, formyl, mercapto, carboxy, alkyl, haloalkyl, polyhaloalkyl, aminoalkyl, diaminoalkyl, alkenyl containing 1 to 2 double bonds, alkynyl containing 1 to 2 triple bonds, cycloalkyl, cycloalkylalkyl, aryl, heteroaryl, arylalkyl, heteroarylalkyl, alkylidene, arylalkylidene, alkylcarbonyl, arylcarbonyl, heteroarylcarbonyl, alkoxycarbonyl, alkoxycarbonyl, alkoxycarbonylalkyl, aryloxycarbonyl, aryloxycarbonylalkyl, aminocarbonyl, alkylaminocarbonyl, arylaminocarbonyl, diarylaminocarbonyl, arylalkylaminocarbonyl, alkoxy, aryloxy, perfluoroalkoxy, alkenyloxy, alkynyloxy, arylalkoxy, amino, aminoalkyl, alkylaminoalkyl, dialkylaminoalkyl, arylaminoalkyl, diarylaminoalkyl, alkylamino, arylamino, arylamino, alkylarylamino, alkylcarbonylamino, alkoxycarbonylamino, arylcarbonylamino, aryloxycarbonylamino, azido, alkylthio, arylthio, perfluoroalkylthio, thiocyano, isothiocyano, alkylsulfinyl, alkylsulfonyl, arylsulfinyl, arylsulfonyl, aminosulfonyl, alkylaminosulfonyl, dialkylaminosulfonyl, arylaminosulfonyl or diarylaminosulfonyl;

R<sup>20</sup> is alkylene, alkenylene, alkynylene, arylene or heteroarylene;

k is 2 or 3; and

j is 0 or 1.

49. (Previously presented) The LPC of claim 5 coupled to a biopolymer.

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#### **EXAMINER'S AMENDMENT**

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Stephanie Seidman on February 3, 2006.

# **AMENDMENTS TO THE CLAIMS**

In Claim 5, X<sup>1</sup> has been rewritten—X<sup>1</sup> is a reactive group that effects the biosynthesis of biopolymers from monomers to produce biopolymers selected from the group consisting of polypeptides, oligonucleotides, peptide nucleic acids and oligosaccharides;--.—

In Claim 33,  $X^1$  has been rewritten –  $X^1$  is a reactive group that effects the biosynthesis of biopolymers from monomers to produce biopolymers selected from the group consisting of polypeptides, oligonucleotides, peptide nucleic acids and oligosaccharides;--.—

In Claim 45, X<sup>1</sup> has been rewritten – X<sup>1</sup> is a reactive group that effects the biosynthesis of biopolymers from monomers to produce biopolymers selected from the group consisting of polypeptides, oligonucleotides, peptide nucleic acids and oligosaccharides;--.—

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to PAUL V. WARD whose telephone number is 571-272-2909. The examiner can normally be reached on M-F 8 am to 4 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James O. Wilson can be reached on 571-272-0661. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Jamés O. Wilson

Supervisory Patent Examiner Technology Center 1600